

Seiwa IP News

## **IP Statistics 2024**

Seiwa Patent & Law

(Tokyo, JAPAN)

## May 2024

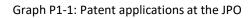
Abstract: This article summarizes recent statistical data relating to matters of intellectual property, such as patents, utility models, designs and trademarks, available from the Japan Patent Office (JPO), the Supreme Court of Japan (SCJ), the Intellectual Property High Court (IPHC) and WIPO, etc. This edition includes updates based on the JPO Status Report 2024 Edition published in March 2024, and the IP5 Statistics Report 2022 Edition, etc.

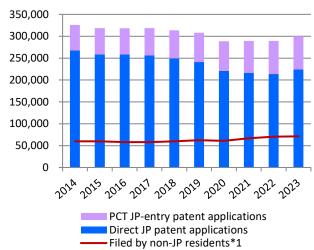
- The number of patent applications filed at the JPO in 2023 was 300,133 (+3.7 % y/y), recovering to 300,000 for the first time in four years. While the number of international applications remained at the same level as the previous year, an increase in the number of direct JP patent applications was a factor that pushed up the overall number of applications (p.2, Graph P1-1).
- The total number of design applications filed at the JPO has remained at the same level of about 31,000 to 32,000 in recent years. In 2023, while the number of direct JP design applications decreased the number of international design applications designating JP increased significantly (+24.1 % y/y) (p.10, Graph D1-1).
- The number of trademark registrations in 2023 decreased significantly (-32.4 % y/y) (p.12, Graph T1-2). This is presumed to be due to the elimination of the examination backlog (p.13, Graph T2-1 and Graph T2-2).

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## Statistics: Patents

### Updated Patent applications and registrations at the JPO





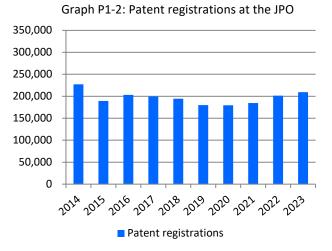
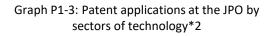
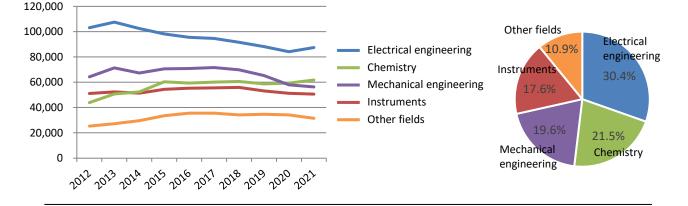


Table P1

Calendar Year	2019	2020	2021	2022	2023	y/y
JP patent applications in total	307,969	288,472	289,200	289,530	300,133	+3.7%
PCT JP-entry patent applications	66,968	67,634	72,782	75,892	75,687	-0.3%
Direct JP patent applications	241,001	220,838	216,418	213,638	224,446	+5.1%
Patent registrations	179,910	179,383	184,372	201,420	209,368	+3.9%



Graph P1-4: Proportions of patent applications at the JPO by sectors of technology in 2021



\*1 Sum of PCT JP-entry and direct-JP patent applications filed by foreign applicants.

\*2 The classification is based on the technology sectors according to the International Patent Classification (IPC and Technology Concordance Table) established by WIPO.

Electrical engineering: electrical machinery, electrical apparatus, electrical energy, audio-visual technology, telecommunications, digital communications, basic electronic elements, computer technology, IT methods for management, and semiconductors.

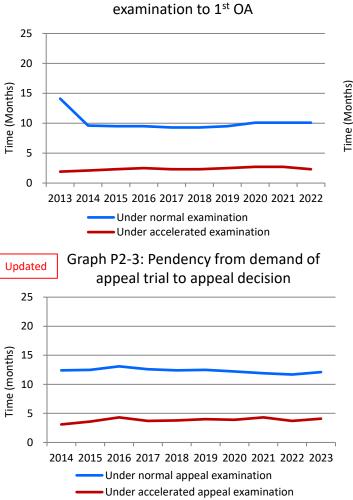
Chemistry: organic chemistry, agrochemicals, biotechnology, pharmaceuticals, macromolecular chemistry, polymers, food chemistry, basic materials chemistry, inorganic materials, metallurgy, surface processing, microstructure, nanotechnology, chemical engineering, and environmental chemistry.

Mechanical engineering: handling machinery, machining tools, engines, pumps, turbines, textiles, paper manufacturing, other special machinery, heat treatment mechanisms, machine components, and transportation.

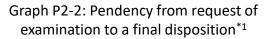
Instruments: optics, measurement, analysis of biological materials, control devices, and medical devices.

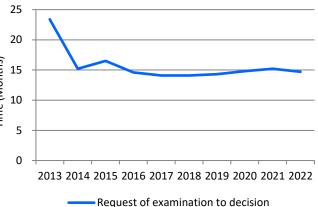
Other fields: furniture, gaming, other consumer goods, and civil engineering.

### Average time of pendency

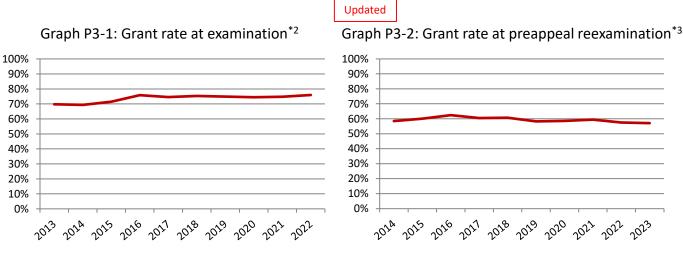


Graph P2-1: Pendency from request of





The JPO has been outsourcing prior art searches to registered search organizations, and working to secure the necessary number of patent examiners, etc., in order to maintain the world's fastest patent examination. On the other hand, the JPO has also been implementing various measures to maintain the quality of patent examination, such that the JPO's examination results may be upheld overseas to facilitate the smooth acquisition of patents in other countries/regions.



### Grant rate at the JPO

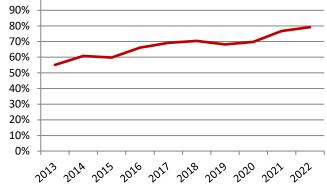
\*1 Withdrawal/Abandonment or Decision of Allowance or Decision of Rejection. Cases in which the applicant extended a response period for an Office Action are excluded.

\*2 Grant rate at examination = decisions of grant at examination / (decisions of grant at examination + decisions of rejection at examination + withdrawal or abandonment after request for examination)

\*3 Grant rate at pretrial reexamination = decisions of grant at pretrial reexamination / (decisions of grant at pretrial reexamination + cases transferred to appeal + withdrawal or abandonment at pretrial reexamination)



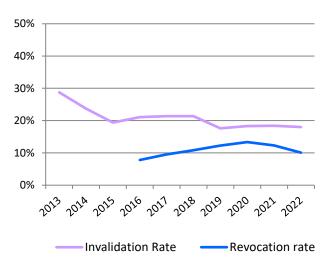
100%



The patent grant rates in examination, preappeal and appeal examination at the JPO have remained very high in recent years. This is based on various reasons, including changes in the IP strategies of companies from quantity to quality.

#### Graph P4-1: Requests for opposition Graph P4-2: Average time of pendency Updated Updated & invalidation trial at opposition & invalidation trial<sup>\*2</sup> 1,600 16 1,400 14 1,200 12 lime (month) 10 1,000 800 8 600 6 4 400 200 2 0 Λ 2014 2015 2016 2017 2018 2019 2020 2022 2022 2022 2014 2015 2016 2011 2018 2019 2020 2022 2022 2023 Average time of invalidation trial pendency Requests for invalidation trial Average time of opposition pendency Requests for oppositions (par registration)

### **Opposition & Invalidation Trial**



Graph P4-3: Rate of invalidation and revocation of patent (all or some claims)<sup>\*3</sup>

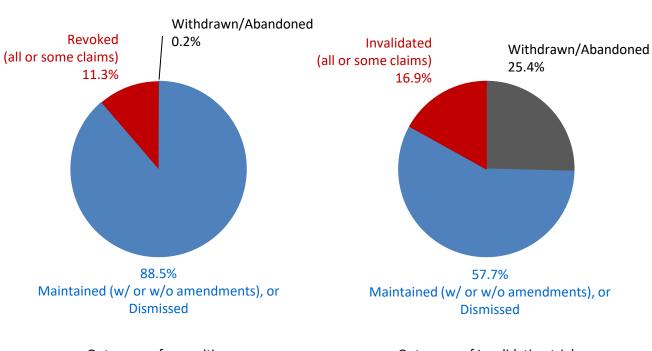
\*1 Grant rate at appeal = appeal decisions of grant / (appeal decisions of grant + appeal decisions of rejection) \*2 Opposition: from request to Notice of Reasons for Revocation (Advance Notice of Decision) or Decision Invalidation Trial: from request to Advance notice of Decision or Decision

\*3 Rate of Invalidation of Patent (all or some claims) = Trial Decisions to Invalidate all or some claims of Patent / (Trial Decisions to Invalidate all or some claims of Patent + Trial Decisions to Maintain Patent)

Rate of Revocation of Patent (all or some claims) = Trial Decisions to Revoke all claims of Patent / (Trial Decisions to Revoke all or some claims of Patent + Trial Decisions to Maintain Patent)

The rate of invalidation and rate of revocation are calculated as a three-year moving average.

### Breakdown of Oppositions & Invalidation Trials Results



Outcomes of oppositions disposed from Jan. 2018 to Dec. 2022

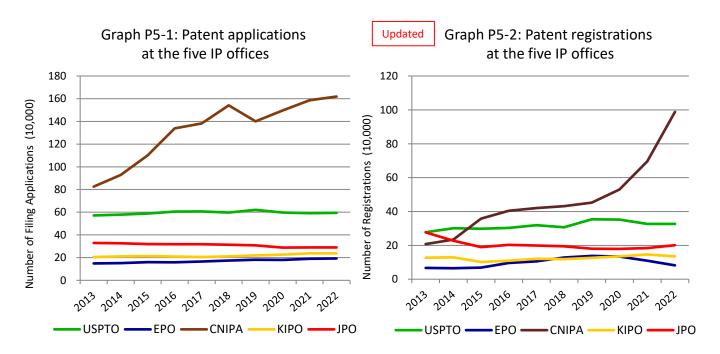
Graph P4-4: Results of Oppositions

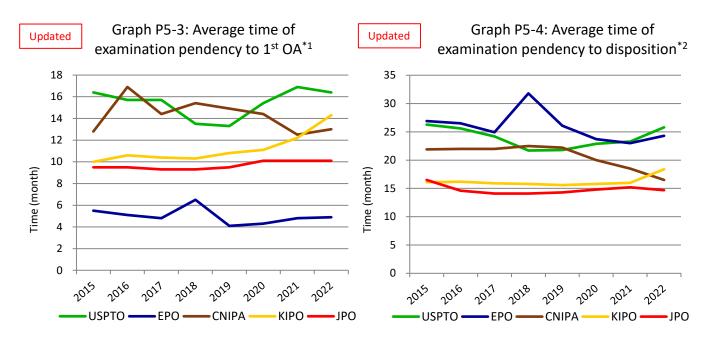
Outcomes of Invalidation trials disposed from Jan. 2018 to Dec. 2022

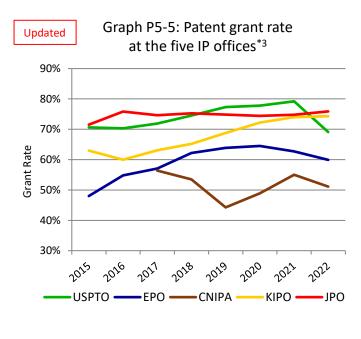
5

Graph P4-5: Results of Invalidation Trials

### Comparison between the five IP offices







\*1 Average time of examination pendency to 1<sup>st</sup> OA: JPO = average time of from requesting examination to 1<sup>st</sup> OA

USPTO = average time of from filing date to 1<sup>st</sup> OA EPO = median time of from filing date to Extended European Search Report

 ${\sf KIPO}$  = average time of from requesting examination to  $1^{st}\,{\sf OA}$ 

 $\mathsf{CNIPA}$  = average time of from starting examination to  $1^{\mathsf{st}}\,\mathsf{OA}$ 

\*2 Average time of examination pendency to decision: JPO = average time of from requesting examination to withdrawal, abandonment, or Decision of Allowance or Decision of Rejection

USPTO = average time of from filing date to abandonment or a final disposal (including reexamination)

EPO = median time of from starting examination to final disposal

KIPO = (total examination period of patent applications disposed in the year) / (total number of patent applications disposed in the year)

\*3 Grant Rate at Examination:

JPO = Decision of Grant at Examination / (Decision of Grant at Examination + Decision of Rejection at Examination + Withdrawal or Abandonment after Request for Examination)

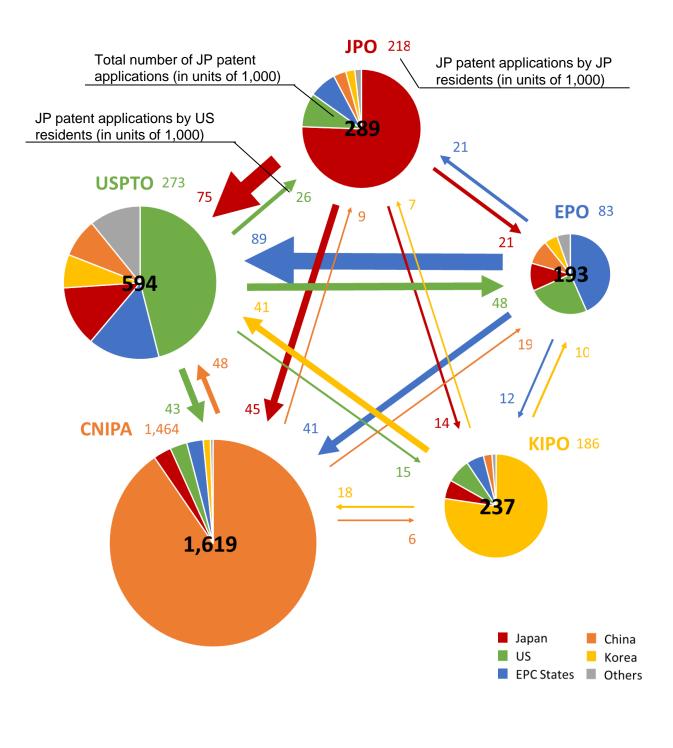
USPTO = Patent Grants / Patent Applications

CNIPA does not disclose the definition of the Grant Rate at Examination.

EPO = Decision of Grant at Examination / (Decision of Grant at Examination + Decision of Rejection at Examination + Abandonment)

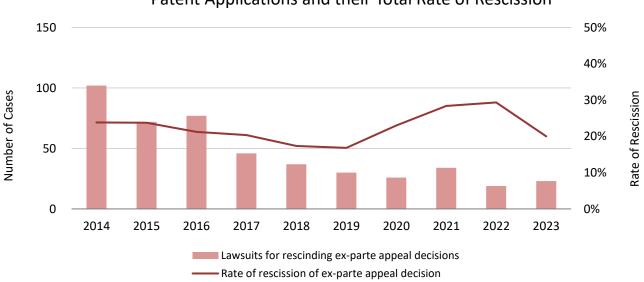
KIPO = Decision of Grant at Examination / (Decision of Grant at Examination + Decision of Rejection at Examination + Withdrawal after Request for Examination)

### Graph P6: Patent applications filed at the five IP offices by origin<sup>\*1</sup> (in 2022)



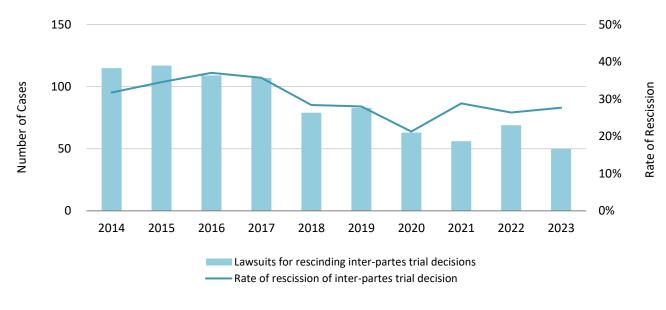
\*1 The origin is based on residence of first named applicants. The amounts were rounded down and given in units of 1,000.

Lawsuits against the JPO's Appeal/Trial Decisions in relation to Patent



Graph P7-1: Lawsuits against *Ex-Parte* Appeal/Trial Decisions in relation to Patent Applications and their Total Rate of Rescission<sup>\*1</sup>





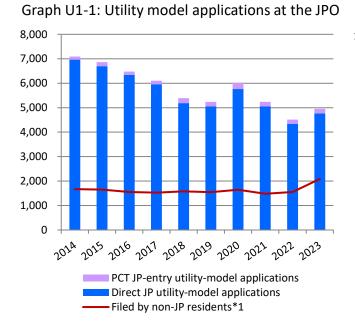
<sup>\*1</sup> These data include lawsuits against Trial Decisions of Rejection and Dismissal of Amendment in relation to patent applications. The rate of rescission is a three-year moving average.

<sup>\*2</sup> These data include lawsuits against Trial Decisions of Invalidation and Correction Trials in relation to patent rights. The rate of rescission is a three-year moving average.

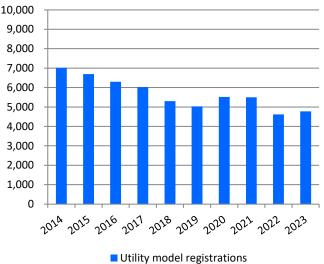


## Statistics: Utility Models

### Utility model applications and registrations at the JPO



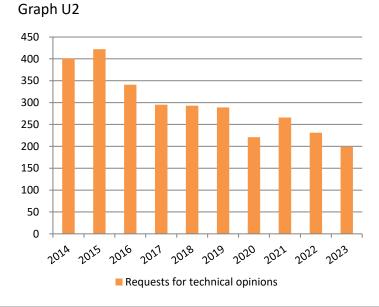
#### Graph U1-2: Utility model registrations at the JPO



#### Table U1

Calendar Year	2019	2020	2021	2022	2023	y/y
JP utility-model applications in total	5,241	6,018	5,239	4,513	4,949	+9.7%
PCT JP-entry utility-model applications	191	253	180	173	186	+7.5%
Direct JP utility-model applications	5,050	5,765	5,059	4,340	4,763	+9.7%
Utility model registrations	5,033	5,518	5,499	4,615	4,772	+3.4%
Requests for technical opinions	289	221	266	231	199	-13.9%

### Requests for Technical Opinions<sup>\*2</sup>



#### \*1 Sum of PCT JP-entry and direct-JP utility-model applications filed by foreign applicants.

\*2 A utility model right owner cannot enforce its utility model right without warning an opposing party in advance with indicating a technical opinion by the examiner.

## Statistics: Designs

Design applications and registrations at the JPO

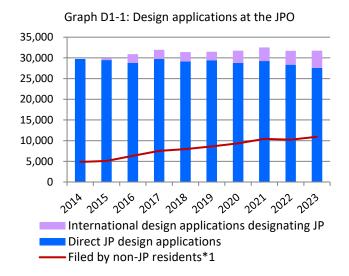




Table D1-1

Updated

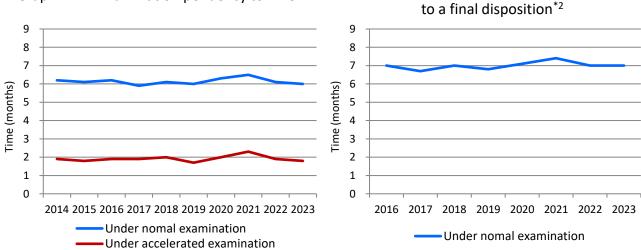
Calendar Year	2019	2020	2021	2022	2023	y/y
JP design applications in total	31,489	31,752	32,525	31,711	31,747	+0.1%
International design applications designating JP	2,072	2,986	3,303	3,353	4,160	+24.1%
Direct JP design applications	29,417	28,766	29,222	28,358	27,587	-2.7%
Design registrations	27,556	26,417	27,490	29,540	26,908	-8.9%

### The number of applications and registrations for new types of design (from April 1, 2020 to August 1, 2023)

Table D1-2

Graphic Images	4.597	2.948
	1,007	2,340
Buildings	1,293	839
Interior Designs	868	525

It became possible to protect graphic images, buildings and interior designs from April 1, 2020. This table shows the number of applications and registrations for these new types of design from April 1, 2020 to August 1, 2023.



Average time of pendency

\*1 Sum of international design applications designating JP and direct-JP design applications filed by foreign applicants.

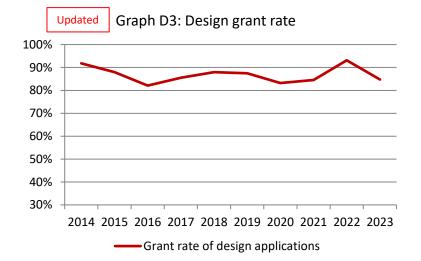
\*2 Withdrawal/Abandonment or Decision of Allowance or Decision of Rejection. Cases in which the applicant extended a response period for an Office Action are excluded.

Graph D2-1: Examination pendency to 1st OA

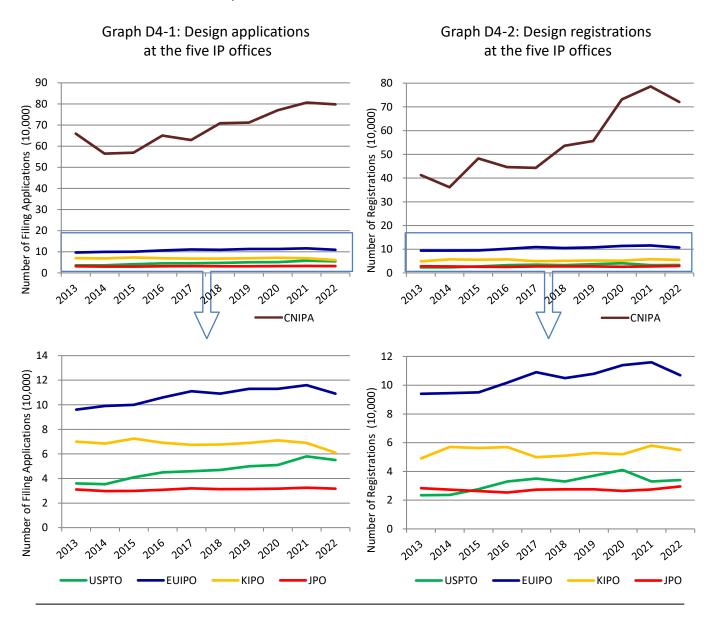
Updated

Graph D2-2: Design examination pendency

### Grant rate at examination of design applications<sup>\*1</sup>



### Comparison between the five IP offices



\*1 Grant Rate of Design Applications = Design Registrations / Design Applications

## Statistics: Trademarks

### Updated Trademark applications and registrations at the JPO





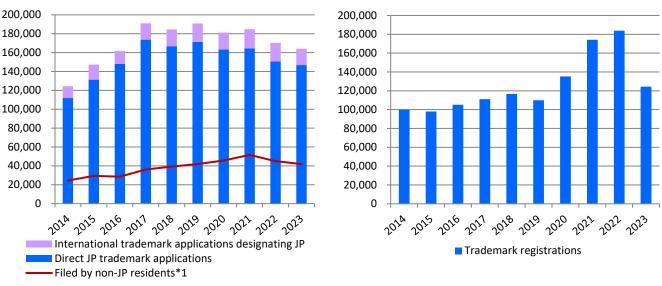


Table T1-1

Calendar Year	2019	2020	2021	2022	2023	y/y
JP trademark applications in total	190,773	181,072	184,631	170,275	164,061	-3.6%
International trademark applications designating JP	19,450	17,924	20,094	19,769	17,397	-12.0%
Direct JP trademark applications	171,323	163,148	164,537	150,506	146,664	-2.6%
Trademark registrations	109,859	135,313	174,098	183,804	124,334	-32.4%

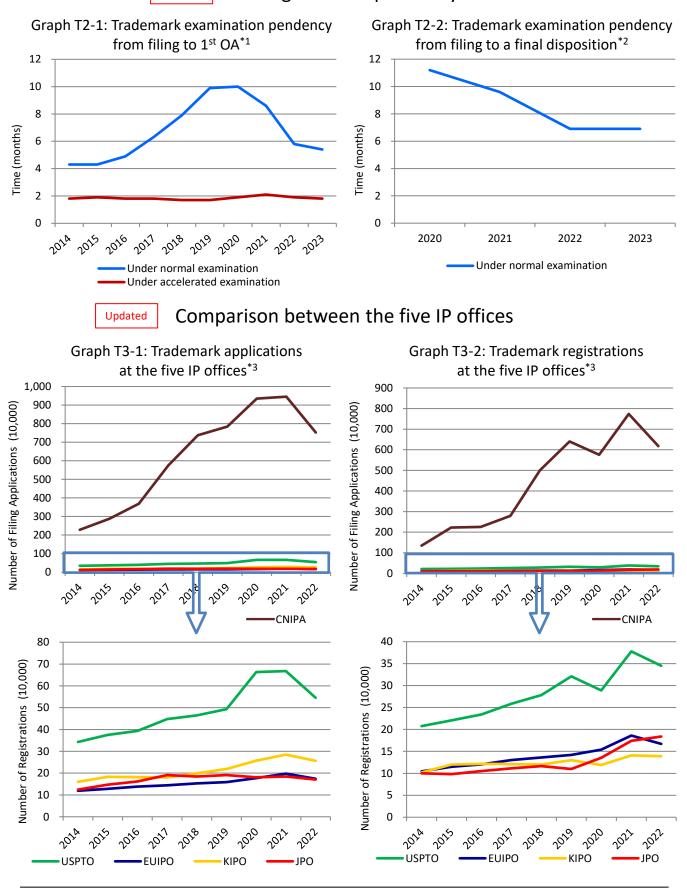
### Trademark applications for non-traditional marks<sup>\*2</sup>

Table T1-2										
Calendar Year	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022
3D / Applications	243	265	308	267	295	276	254	285	337	311
Registrations	150	146	174	150	141	137	125	155	160	202
Sound / Applications	-	-	365	133	80	51	37	20	22	19
Registrations	-	-	21	74	113	49	32	28	18	11
Motion / Applications	-	-	80	38	8	26	24	18	22	18
Registrations	-	-	13	47	31	8	17	20	16	27
Position / Applications	-	-	243	80	51	41	44	40	44	30
Registrations	-	-	5	14	21	19	16	15	13	28
Color / Applications	-	-	448	42	22	19	6	5	8	7
Registrations	-	-	0	0	2	5	1	0	0	1
Hologram / Applications	-	-	14	3	0	2	1	0	0	1
Registrations	-	-	1	8	2	1	2	1	0	1

\*1 Sum of international trademark applications designating JP and direct-JP trademark applications filed by foreign applicants.

\*2 These data do not include the numbers of international trademark applications designating JP.

#### Updated



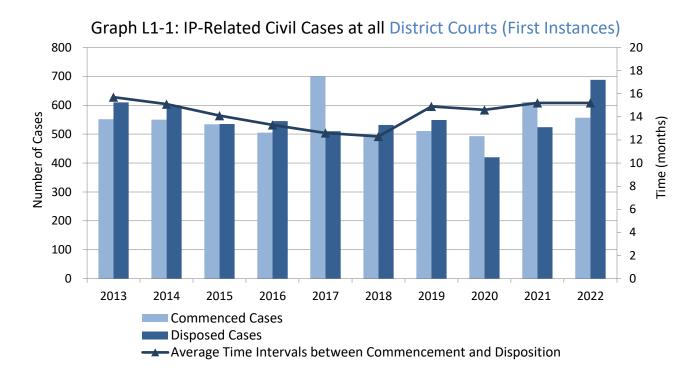
\*1 Applications that were filed on or after February 1, 2020, and satisfy certain requirements, shall be automatically subject to Fast Track Examination, and a first action will be issued within about six months from the filing date.

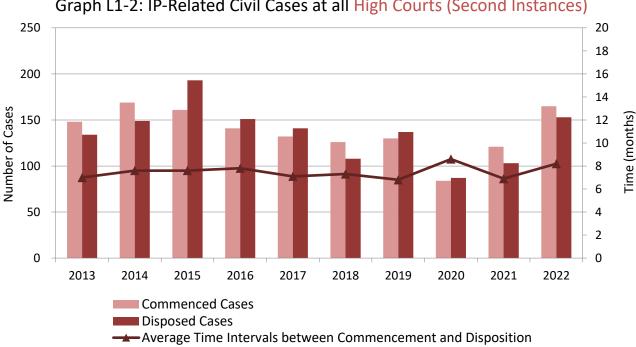
\*2 Withdrawal/Abandonment or Decision of Allowance or Rejection. Cases in which the applicant extended a response period for an Office Action are excluded.

\*3 Since CNIPA does not publish the number of trademark applications, the values are based on the number of trademark classes.

## **Statistics: Litigation**

### Infringement Suits and other IP-Related Civil Cases<sup>\*1</sup>





Graph L1-2: IP-Related Civil Cases at all High Courts (Second Instances)

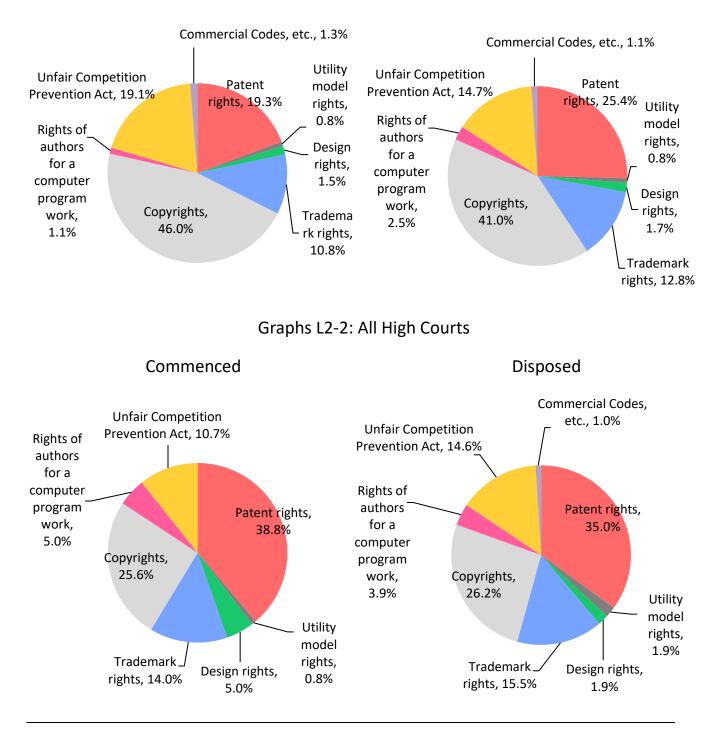
\*1 These data include all the civil cases relating to patents, utility model registrations, design registrations and trademark registrations.

### Breakdown of IP-Related Civil Cases by IP Rights<sup>\*1</sup> (2021)

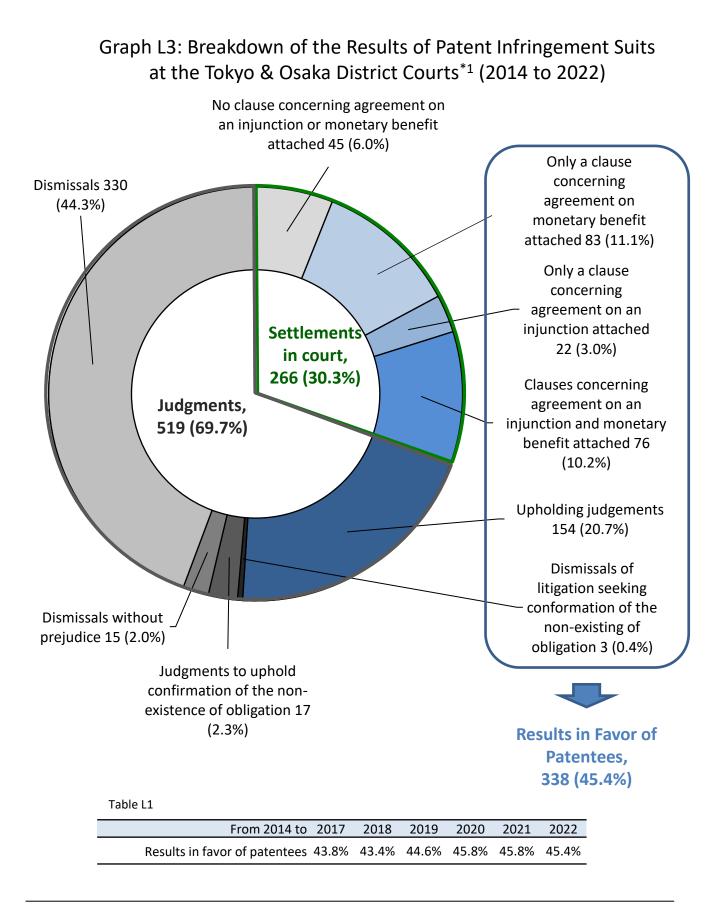
### Graphs L2-1: All District Courts

#### Commenced

#### Disposed

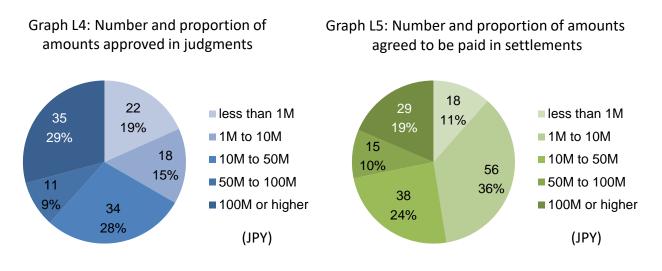


\*1 These data relate to IP-related civil cases, and thus do not include administrative litigations.

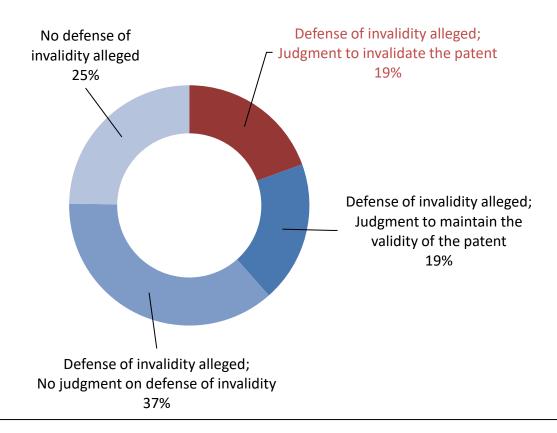


\*1 Upholding judgments include judgments that partially uphold the claim.

# Amounts Approved in Judgments and agreed to be paid in settlements in court in patent infringement cases (2014-2022)<sup>\*1</sup>



Graph L6: Whether defense of invalidity was judged and how it was judged in patent infringement cases (2014 to 2022)<sup>\*2</sup>



\*1 Amounts related to attendant claims and court costs are not included.

\*2 Each number indicated presents the total number of patent rights claimed in cases concluded by final judgments. For example, if two patent rights were claimed in one patent infringement case, the number of patent rights is counted as two.